

Blocking Semiovals and their Applications in Cryptography

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(joint work with Dr. Jeremy Dover)

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Introduction

Introduction

- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

$PG(2, q)$ is the classical, or Desarguesian, finite projective plane of order q , q a prime power, and

Introduction

Introduction

- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

$PG(2, q)$ is the classical, or Desarguesian, finite projective plane of order q , q a prime power, and these planes can be represented easily with a 3-dimensional vector space over a finite field.

Introduction

Introduction

- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

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1-dimensional subspaces \leftrightarrow points

Introduction

Introduction

- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

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1-dimensional subspaces \leftrightarrow points

2-dimensional subspaces \leftrightarrow lines

what is this talk about?

Introduction

Cryptography
Blocking Semiovals
Semiovals from
Conics
Main Results
Conclusions

Finite geometry has played a major role in many areas of discrete mathematics.

what is this talk about?

Introduction

- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

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In particular, the techniques of finite geometry can be used to construct robust examples of powerful linear codes, non-linear codes, and ciphers.

what is this talk about?

Introduction

Cryptography
Blocking Semiovals
Semiovals from
Conics
Main Results
Conclusions

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My goal is to:

what is this talk about?

Introduction

Cryptography
Blocking Semiovals
Semiovals from
Conics
Main Results
Conclusions

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1. Introduce you to a not-so-well-known cryptographic protocol

what is this talk about?

Introduction

Cryptography
Blocking Semiovals
Semiovals from
Conics
Main Results
Conclusions

Finite geometry has played a major role in many areas of discrete mathematics.

In particular, the techniques of finite geometry can be used to construct robust examples of powerful linear codes, non-linear codes, and ciphers.

My goal is to:

1. Introduce you to a not-so-well-known cryptographic protocol
2. Describe my work in finite geometry that's related

Cryptography

Introduction

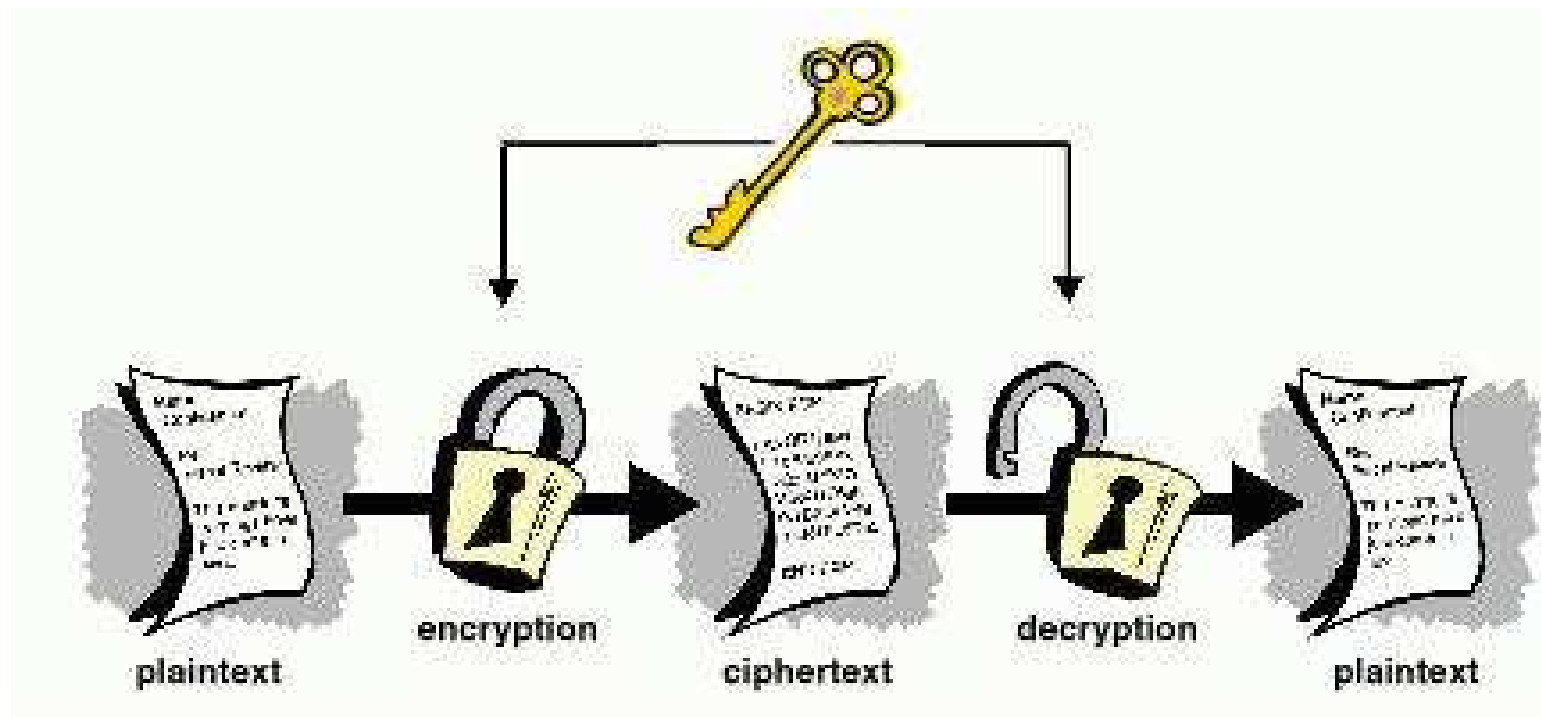
Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions



from points/lines to matrices

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

$$\begin{array}{c} \\ l_1 \\ l_2 \\ l_3 \\ \vdots \\ l_n \end{array} \begin{pmatrix} P_1 & P_2 & P_3 & \dots & P_n \\ 1 & 0 & 1 & \dots & 1 \\ 0 & 1 & 0 & \dots & 1 \\ 1 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 1 & 1 & \dots & 0 \end{pmatrix}$$

ciphertexts as vectors

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

A cryptographic protocol...

$$\begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

ciphertexts as vectors

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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$$\left[\begin{array}{cccc|cccccc} 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 \end{array} \right]$$

ciphertexts as vectors

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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determining sets

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

We say a set \mathcal{S} is a *determining set* if every line intersects \mathcal{S} in a different pattern.

determining sets

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

We say a set \mathcal{S} is a *determining set* if every line intersects \mathcal{S} in a different pattern.

In finite projective planes, two points uniquely determine a line.

determining sets

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

We say a set \mathcal{S} is a *determining set* if every line intersects \mathcal{S} in a different pattern.

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determining sets

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

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However, we must avoid passant lines (lines not meeting our set at all), and..

determining sets

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

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determining sets

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

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In finite projective planes, two points uniquely determine a line. So, if a line meets our set in two points, it is uniquely determined.

However, we must avoid passant lines (lines not meeting our set at all), and.. multiple tangent lines.

That is, any particular point of a determining set \mathcal{S} can have at most one tangent line through it.

tools and keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

The cryptographic protocol... start with the following:

tools and keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

The cryptographic protocol... start with the following:

1. a finite projective plane π along with its incidence matrix M

tools and keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

The cryptographic protocol... start with the following:

1. a finite projective plane π along with its incidence matrix M
2. a determining set B in π

tools and keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

The cryptographic protocol... start with the following:

1. a finite projective plane π along with its incidence matrix M
2. a determining set B in π
3. a cipher ρ that acts on the columns of M_B (the columns corresponding to points of B)

tools and keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

The cryptographic protocol... start with the following:

1. a finite projective plane π along with its incidence matrix M
2. a determining set B in π
3. a cipher ρ that acts on the columns of M_B (the columns corresponding to points of B)
4. a cipher σ that acts on the columns of $M_{\pi \setminus B}$ (the columns *not* corresponding to points of B)

the keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

As with many cryptosystems, part of our key is public and part is private. Suppose Alice is sending a message to Bob.

the keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

As with many cryptosystems, part of our key is public and part is private. Suppose Alice is sending a message to Bob.

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the keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

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The determining set B and the cipher on it, ρ , are known to both Alice and Bob

the keys

Introduction

Cryptography

Blocking Semiovals

Semiovals from

Conics

Main Results

Conclusions

As with many cryptosystems, part of our key is public and part is private. Suppose Alice is sending a message to Bob.

The incidence matrix is public – anybody can know it.

The determining set B and the cipher on it, ρ , are known to both Alice and Bob

The cipher σ is private (known only to Alice)

encryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

$$\begin{bmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

encryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

$$\left[\begin{array}{cccc|cccccc} 1 & 1 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 \end{array} \right]$$

encryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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encryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

$$\left[\begin{array}{cccc|cccccc} 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 1 \end{array} \right]$$

decryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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decryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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Suppose Bob receives this.

decryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

$$\left[\begin{array}{cccc|cccccc} 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 1 \end{array} \right]$$

Suppose Bob receives this.

Bob knows which entries correspond to the points of the determining set (the **green** ones above), and he knows the private cipher on those entries. So, he can decrypt them.

decryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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decryption

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Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

decryption

Introduction

Cryptography

Blocking Semiovals

Semiovals from
Conics

Main Results

Conclusions

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semiovals

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

We wish to construct examples of determining sets. Let's use \mathcal{S} for our set.

semiovals

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

We wish to construct examples of determining sets. Let's use \mathcal{S} for our set.

If a line meets \mathcal{S} in the single point P , then there can't be any other line meeting \mathcal{S} precisely in the point P .

semiovals

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

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A *semioval* is a set of points \mathcal{S} such that for every point $P \in \mathcal{S}$, there exists a unique line l such that $l \cap \mathcal{S} = \{P\}$.

semiovals

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

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unique tangent lines

blocking sets

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

Recall, also, that every line of π needs to intersect \mathcal{S} (so that it can be “determined”).

blocking sets

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

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We say the set \mathcal{S} is a *blocking set* if every line of π meets \mathcal{S} non-trivially. Typically, we also require no line of π to be completely contained in \mathcal{S} .

blocking sets

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

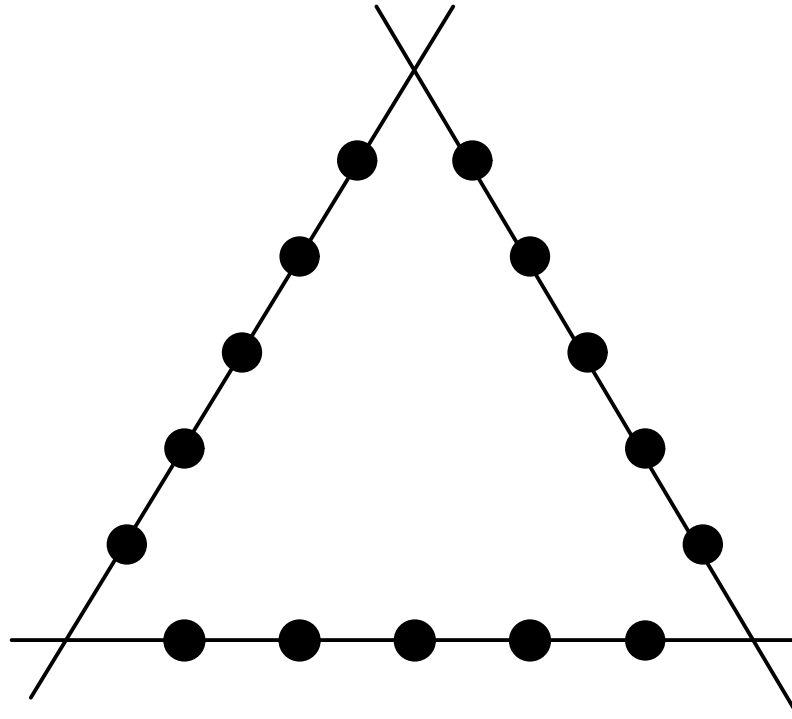
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Naturally, a *blocking semioval* is a set that is both a blocking set *and* a semioval.

vertex-less triangle

Classic example: *the vertex-less triangle*

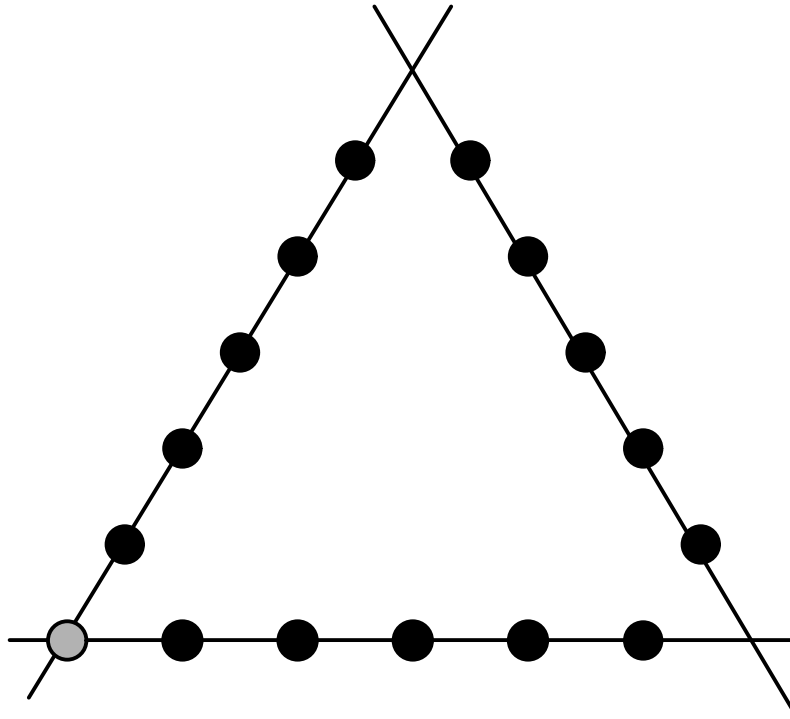


- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

vertex-less triangle

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

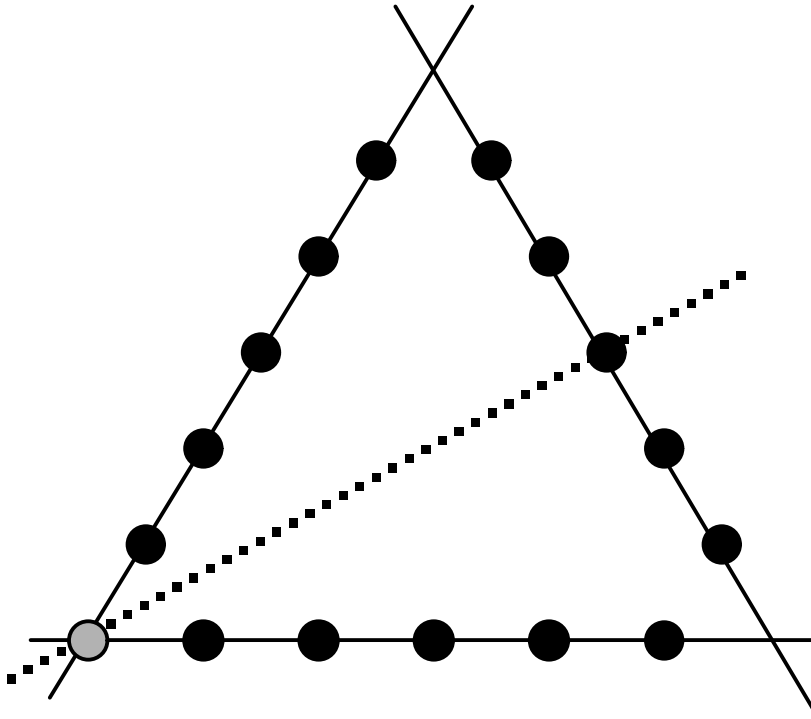
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- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

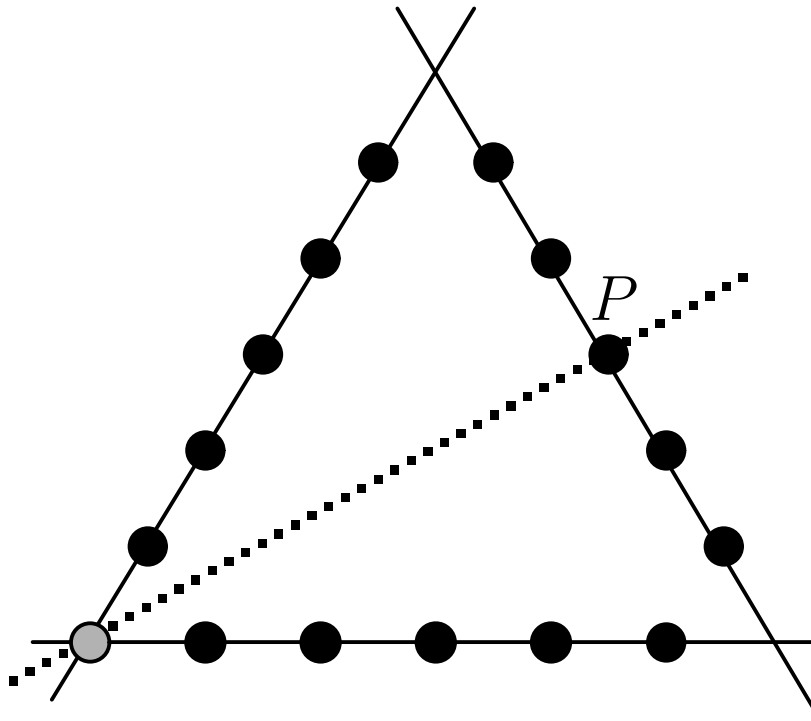
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vertex-less triangle

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

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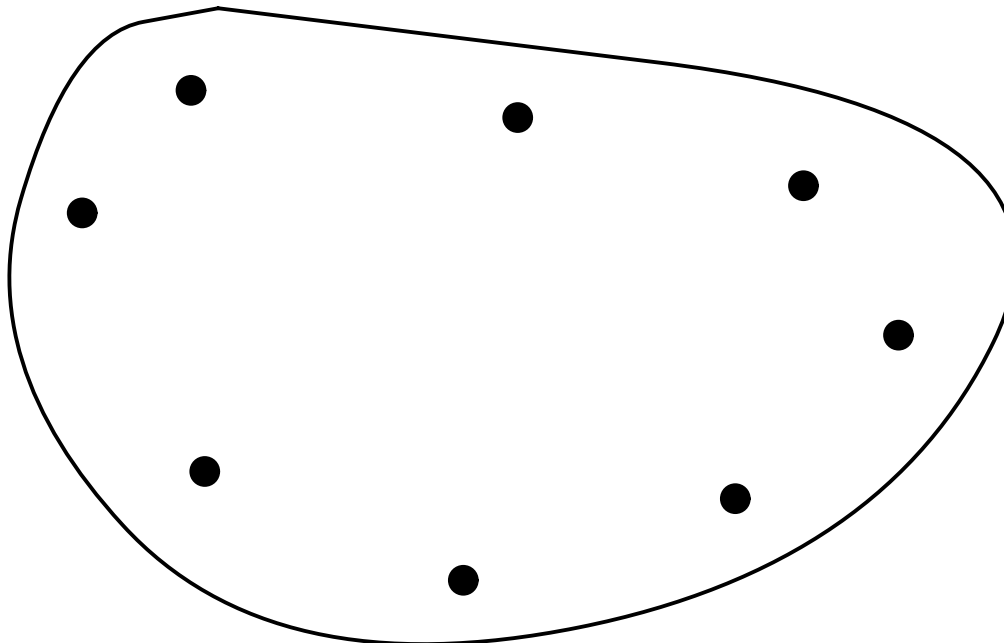


extremal in two ways

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

Part of the fascination with blocking semiovals is that they are extremal in two ways.

First, you can't remove any point and still have a blocking semioval.

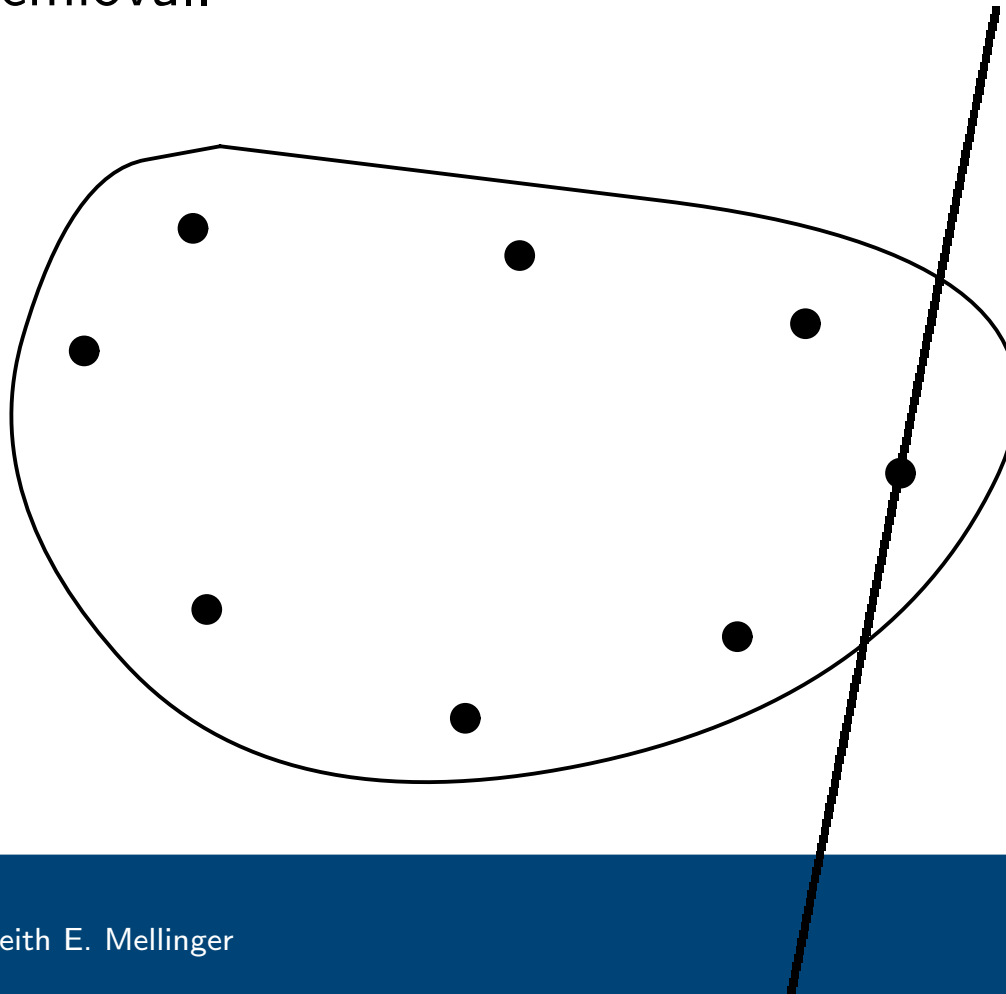


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- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

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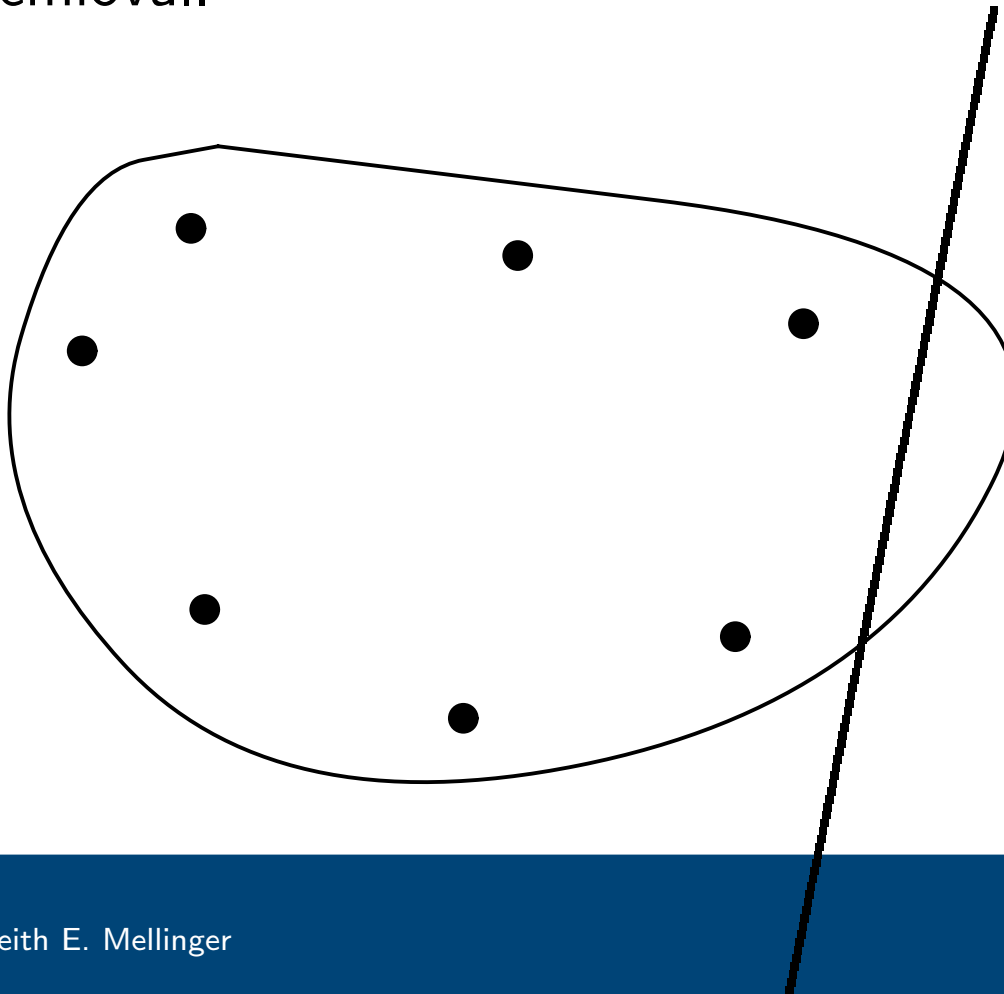


extremal in two ways

- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

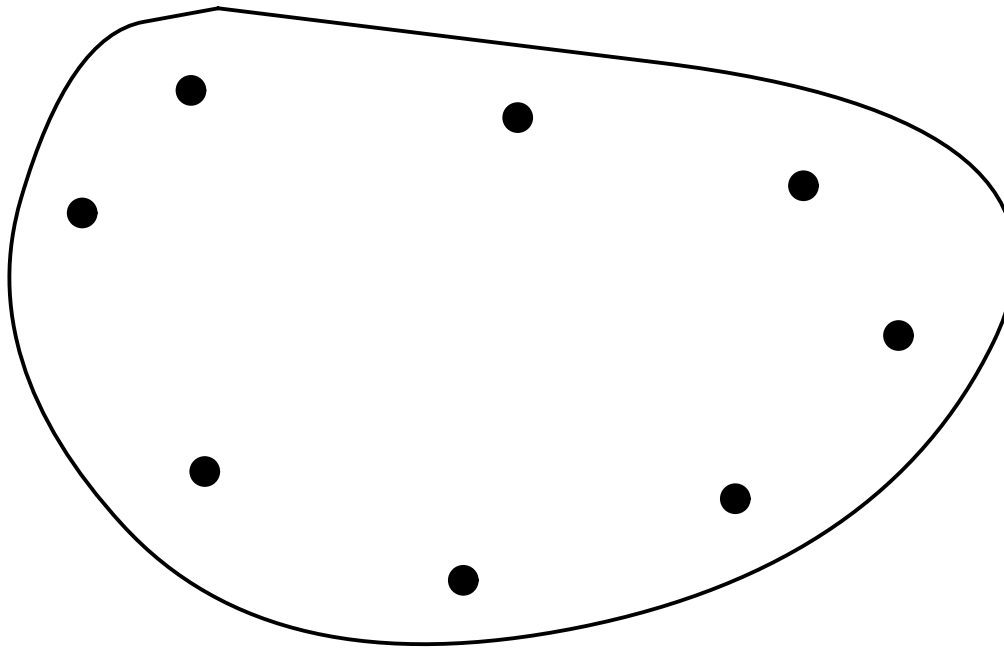
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extremal in two ways

Second, you can't add another point to your set and still have a blocking semioval.

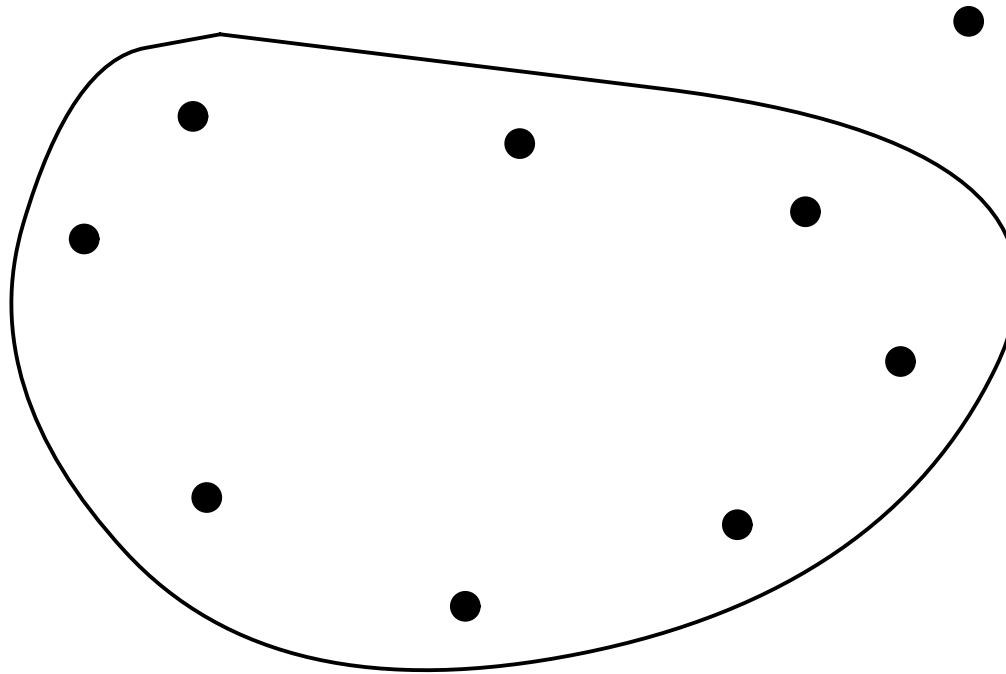


- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

extremal in two ways

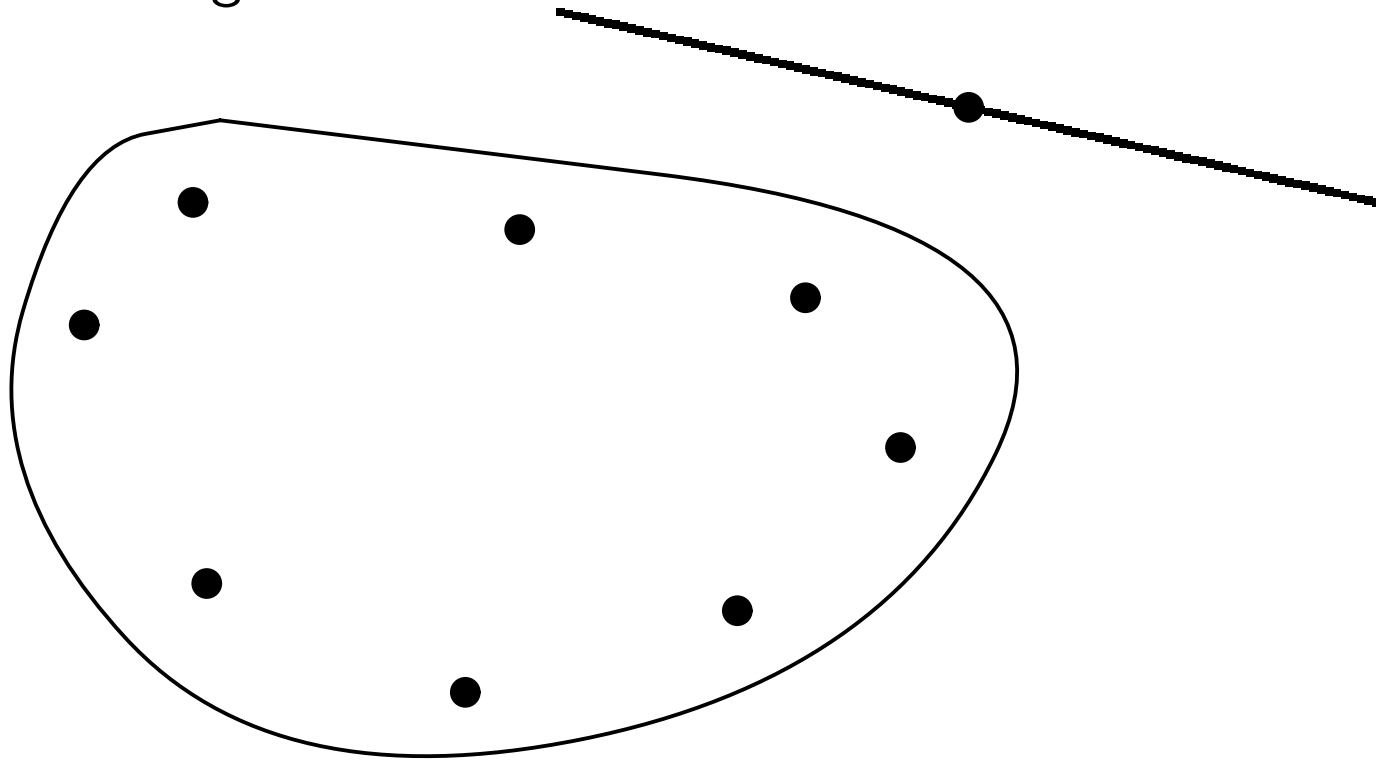
- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

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extremal in two ways

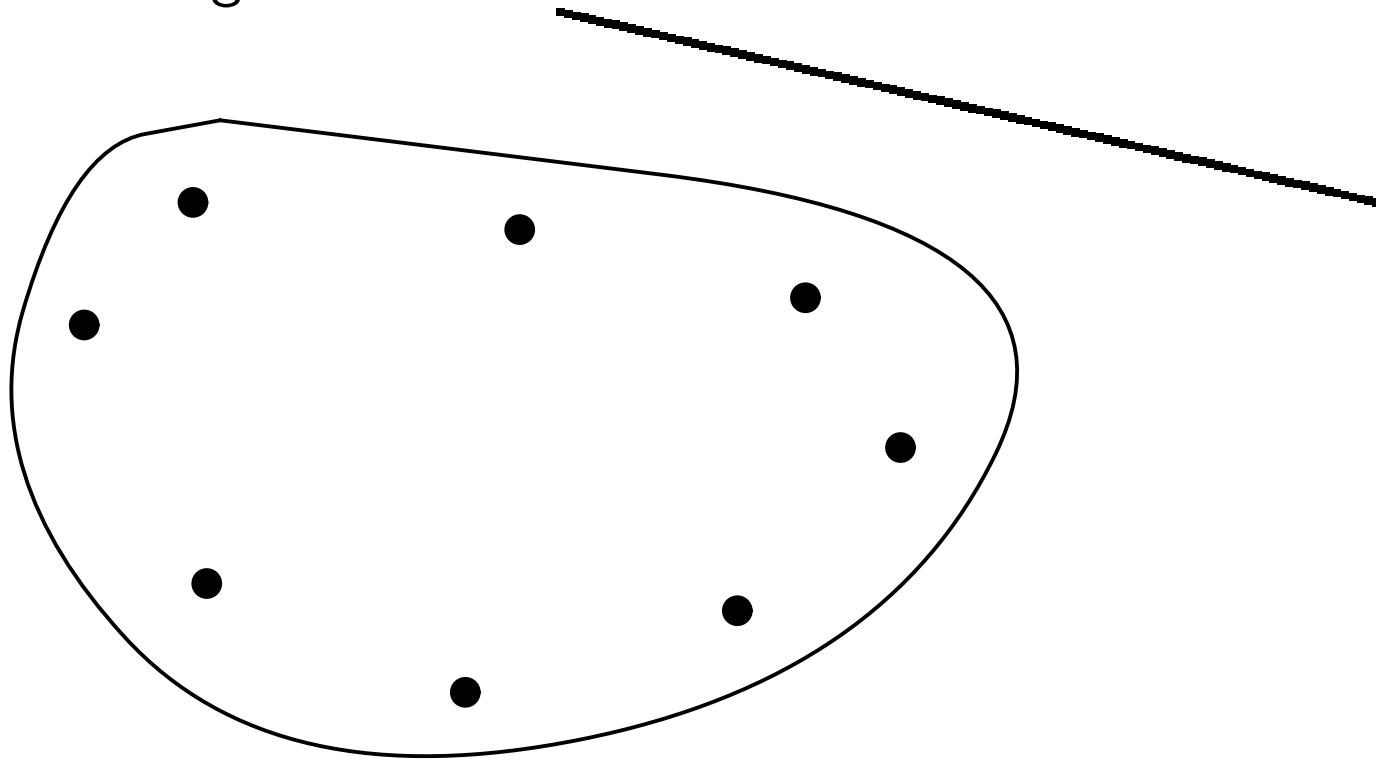
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- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

extremal in two ways

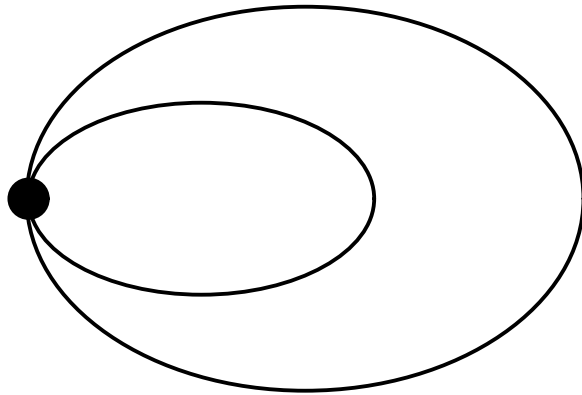
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- Introduction
- Cryptography
- Blocking Semiovals**
- Semiovals from Conics
- Main Results
- Conclusions

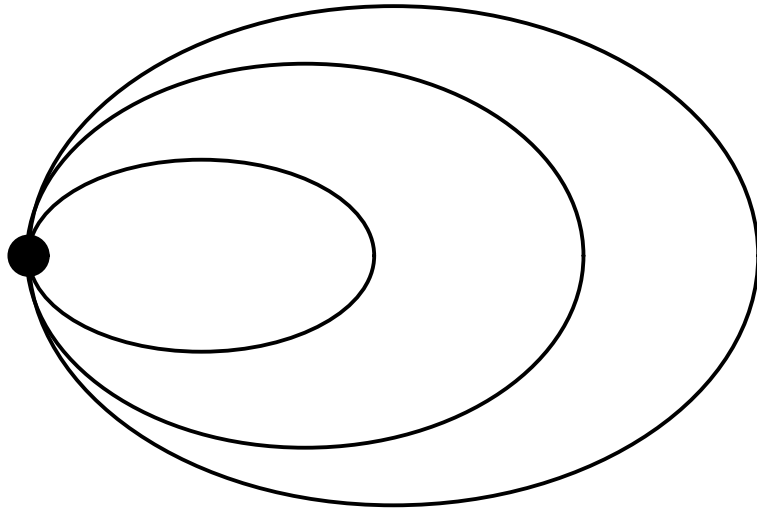
tangent pencil

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions



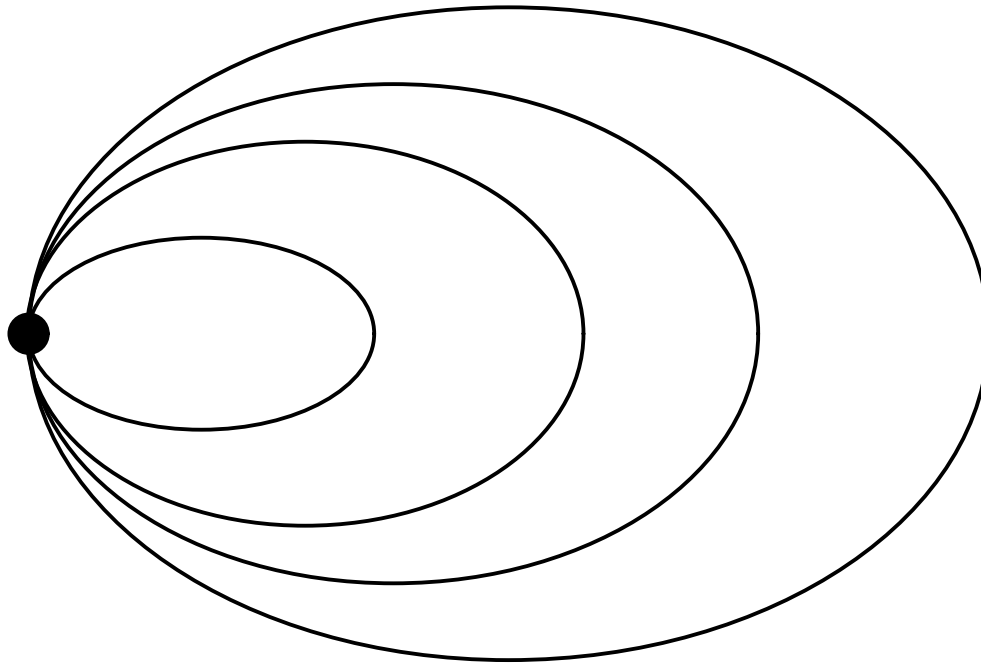
tangent pencil

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions



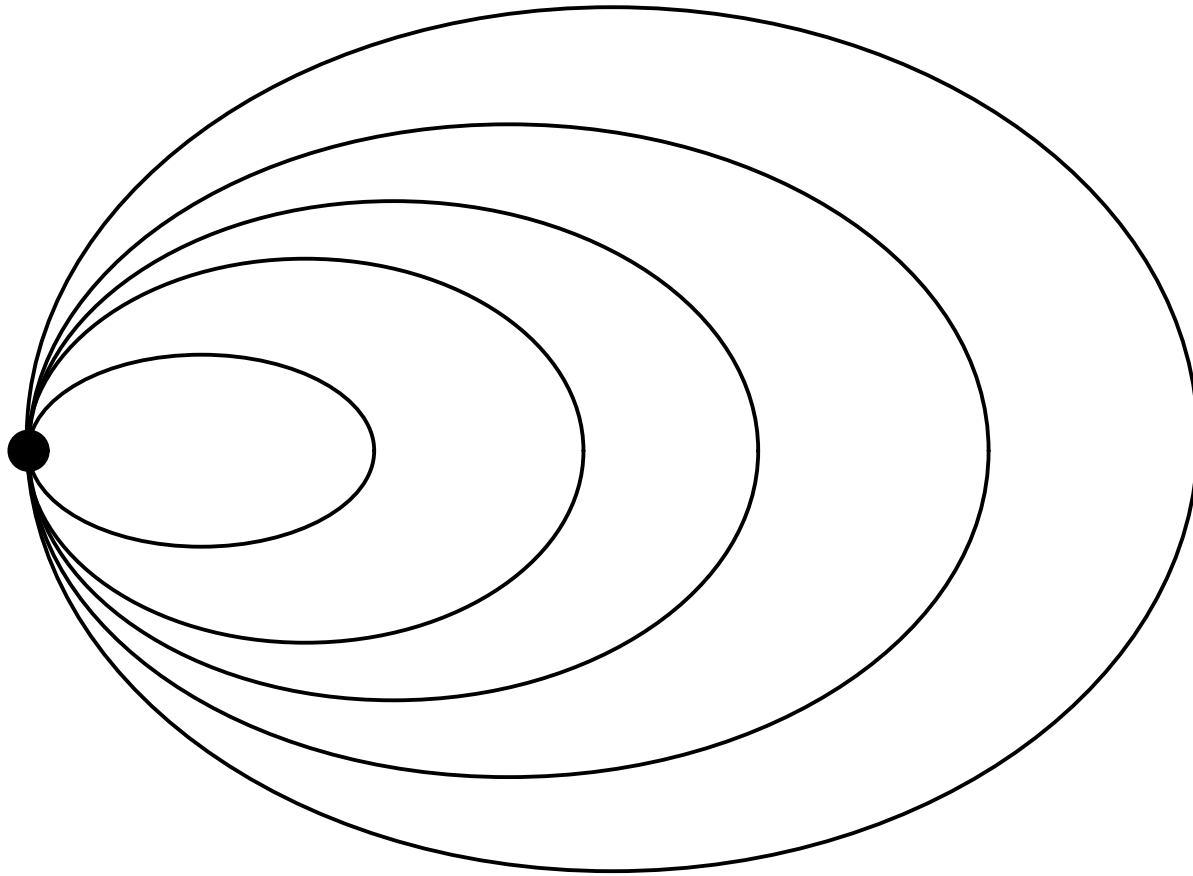
tangent pencil

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions



tangent pencil

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions



algebraic description

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions

Define \mathcal{C}_0 as the conic whose points satisfy $x^2 - yz = 0$, and \mathcal{C}_∞ as satisfying $z^2 = 0$

algebraic description

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions

Define \mathcal{C}_0 as the conic whose points satisfy $x^2 - yz = 0$, and \mathcal{C}_∞ as satisfying $z^2 = 0$

Then, the conics in the pencil are defined by the linear combinations of these: \mathcal{C}_k is defined by $x^2 - yz + kz^2 = 0$.

algebraic description

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

Define \mathcal{C}_0 as the conic whose points satisfy $x^2 - yz = 0$, and \mathcal{C}_∞ as satisfying $z^2 = 0$

Then, the conics in the pencil are defined by the linear combinations of these: \mathcal{C}_k is defined by $x^2 - yz + kz^2 = 0$.

Szőnyi shows that one can select certain conics from this algebraic pencil whose union is a blocking set (he was looking at constructing *blocking sets*).

In the arguments, Szőnyi shows that all points are “essential.” In other words, no point can be removed without destroying the blocking property. This implies that there are unique tangent lines at each of the points.

algebraic description

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

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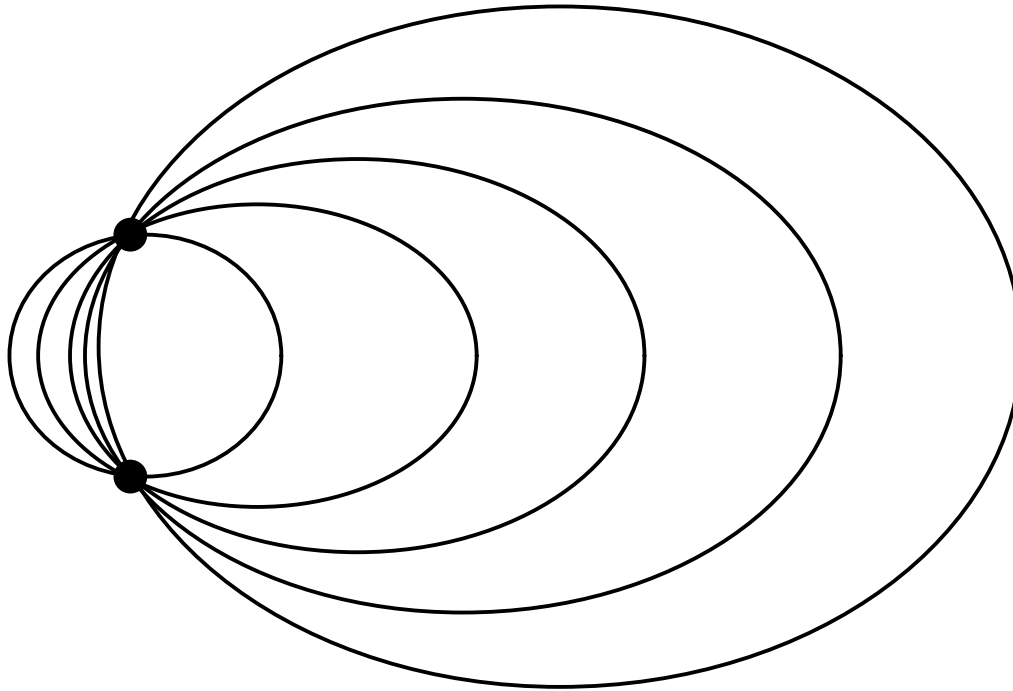
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Was there any reason to start with a pair of tangent conics?

other pencils

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics**
- Main Results
- Conclusions



semiovals from unions of conics

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results**
- Conclusions

Theorem: Let $\mathcal{B} = \bigcup_{i=1}^k \mathcal{C}_i$ be a semioval in $PG(2, q)$ that is the union of nondegenerate conics \mathcal{C}_i . Then \mathcal{B} is isomorphic to one of the following sets:

- ✓ a conic (note that this is the only possibility when q is even), or
- ✓ a union of at most \sqrt{q} conics all lying in a common pencil, or
- ✓ a union of at most four conics, no three in a common pencil.

semiovals from unions of conics

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results**
- Conclusions

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So the semiovals that can be written as a union of conics are completely classified.

BSOs containing conics

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results**
- Conclusions

The first construction we found relies on another object called a *unital*. Unitals can be described (and defined) synthetically. But in finite projective planes, a large class of them also has a nice algebraic description using cubic curves.

BSOs containing conics

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results**
- Conclusions

The first construction we found relies on another object called a *unital*. Unitals can be described (and defined) synthetically. But in finite projective planes, a large class of them also has a nice algebraic description using cubic curves.

Theorem: In $\pi = PG(2, q^2)$, q odd, suppose \mathcal{U} is a unital and \mathcal{C} is a conic with commuting polarities μ and σ , respectively. $\mathcal{S} = \mathcal{C} \cup (\text{Int}(\mathcal{C}) \cap \mathcal{U})$ is a blocking semioval in π .

BSOs containing conics

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results**
- Conclusions

Our other construction relies on pencils again, but is considerable more complex. This was discovered through computer search, and then generalized. In the article, we give a specific example of field elements satisfying the condition.

BSOs containing conics

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results**
- Conclusions

Our other construction relies on pencils again, but is considerable more complex. This was discovered through computer search, and then generalized. In the article, we give a specific example of field elements satisfying the condition.

Theorem: Suppose $q \equiv 1 \pmod{4}$, and let $A \subset GF(q)^*$ be a maximal subset of nonzero squares whose pairwise differences are nonsquares. Moreover suppose there exists no $d \in \square$ such that $k - d \in \square$ for all $k \in A$. Then $\mathcal{S} = \bigcup_{k \in A} \mathcal{C}_k \cup \{(1, a, 0) : a \in \square\}$ is a blocking semioval.

Conclusions

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

- ✓ with Jeremy Dover and Kenneth Wantz, Blocking semiovals containing conics, *Adv. Geom.* **13**: 1 (January 2013) 29-40.
- ✓ with Jeremy Dover, Semiovals from unions of conics, *Innov. Incidence Geom.*, **12** (2011) 61-83.

Conclusions

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
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Thanks!

Conclusions

- Introduction
- Cryptography
- Blocking Semiovals
- Semiovals from Conics
- Main Results
- Conclusions

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Thanks!

Questions?